# Global Call for Proposals

# Call for Submission of Capability Statements from SAIs and INTOSAI Bodies as Providers of Capacity Development Support

Introduction

The Global Call for Proposals (GCP) is a mechanism to enable SAIs and INTOSAI bodies to put forward proposals to strengthen the capacity and performance of SAIs in developing countries. Reflecting on the results of the 2010 Global Stocktaking, indicative priorities set for the 2011 and 2013 GCPs included supporting initiatives that encourage peer-to-peer support.

At the 9th INTOSAI-Donor Steering Committee (SC) meeting[[1]](#footnote-1) participants endorsed a revised strategic direction for the GCP, including a two tier approach. Tier 2 is to provide more intensive support to the most challenged SAIs. Participants noted that INTOSAI bodies had not only the necessary skills and the credibility to provide support, but also that peer-to-peer support posed less of a threat to SAI independence, and that some donors felt uncomfortable providing or overseeing provision of support in such areas. The unique role of SAIs, with some of their activities having no parallel in the private sector, also meant there were limitations to the effectiveness of support provided by none INTOSAI providers. The SC therefore requested the GCP working group to further elaborate the GCP strategy, and to examine ways to enhance INTOSAI’s role in the delivery of country level support under the GCP, especially under tier 2. E.g. in needs assessments, supporting the development of strategic plans, developing support projects, implementing projects and monitoring, reporting and evaluation.

INTOSAI Providers of Support

The 2010 Global Stocktaking report identified around 50 INTOSAI bodies (e.g. SAIs, INTOSAI regions and IDI) that considered themselves as active providers of support. However, many face restrictions in their mandate and operating procedures which limit their provision of support. They further differ regarding resources. Some receive direct funding for development activities. Some are able to mobilize in-kind staff support but require others to fund non-staff costs. Some are obliged to ensure provision of such services are done only on a full cost recovery basis. Some are allowed to bid for work against other potential service providers. Some can bid for work, but are prohibited for bidding against private sector providers. Some can work only in specific countries or regions. Many are limited to provision of support in specific languages, or to supporting SAIs that share a similar administrative heritage.

The experience and capability of INTOSAI bodies in the provision of capacity development support also varies. Of the 50 or so bodies, some ‘Mature’ providers are set up with dedicated international departments with many years of experience in providing organizational support through long term partnerships, often funded by donors and subject to formal monitoring and evaluation processes. Other providers may be classed as ‘Emerging’: moving into the provision of organizational support through long term partnerships, but with more limited experience. A final category could be called ‘Ad hoc’: those that operate on a more ad hoc basis, such as the provision of individuals to deliver training courses or conduct assessments, rather than as part of a broader organizational support program. In the long term, it will be important to expand the quantity and quality of INTOSAI providers of support, for example by emerging providers partnering with mature providers.

Call for Capability Statements

The purpose of this call for capability statements is to identify capable INTOSAI providers of support and identify what support they can provide, and what restrictions they face when entering into such support arrangements. These capability statements will then be made available to donors[[2]](#footnote-2) wishing to contract an INTOSAI body to deliver support under the GCP. INTOSAI will not review, assess, rank or otherwise prioritise amongst those INTOSAI bodies submitting capability statements. Nor will INTOSAI involve itself in donor decisions regarding the selection of service providers.

SAIs and INTOSAI bodies are hereby invited to submit their capability statements to the INTOSAI-Donor Secretariat ([INTOSAI.Donor.Secretariat@IDI.no](mailto:INTOSAI.Donor.Secretariat@IDI.no)) initially by **28 February 2017**, for inclusion on the GCP webpages upon its launch. Capability Statements received after this date will be added to the GCP webpages upon receipt. Guidance on INTOSAI Capability Statements is included below.

**INTOSAI Capability Statement: Guidance**

1. Specifics of the SAI / INTOSAI Body as a Provider of Support

Please complete and include the following table to provide donors with an overview of issues relating to potential funding and contractual arrangements.

|  |  |
| --- | --- |
| 1. *Is the body a legal entity capable of entering into contracts?* |  |
| 1. *Does the body receive core funding (i.e. not linked to specific projects) that it could utilise to support activities under the GCP? (If so, provide details)* |  |
| 1. *Is the body able to provide its staff to support GCP activities as in-kind support? If so, please indicate possible volumes and whether short or long term.* |  |
| 1. *Is the body able to provide any other forms of in-kind support, e.g. provision of training facilities? (If so, provide details)* |  |
| 1. *Is the body required to operate on a full cost recovery basis?[[3]](#footnote-3)* |  |
| 1. *Does the body have the mandate to compete for service delivery contracts against other INTOSAI providers of support?* |  |
| 1. *Does the body have the mandate to compete for service delivery contracts against private sector providers of support?* |  |
| 1. *Is the body restricted to work in specific countries or regions, or does it have specific focus countries or regions? (If so, provide details)* |  |
| 1. *Does the body have any preference for providing support under tier 1 or tier 2?* |  |
| 1. *Does the body restrict its support to countries with a specific administrative heritage or type of SAI (E.g. Court model SAIs, Parliamentary model SAIs)* |  |
| 1. *Does the body have a dedicated department responsible for coordinating and implementing peer-to-peer capacity development support?* |  |
| 1. *In what languages can the body provide comprehensive support (I.e. respond to demand for support in a wide variety of subject areas, with a pool of possible experts in each area) or ad hoc support?* | |  |  |  | | --- | --- | --- | |  | Comprehensive | Ad Hoc | | Arabic |  |  | | English |  |  | | French |  |  | | Portuguese |  |  | | Russian |  |  | | Spanish |  |  | | Other: (Please state) |  |  | |
| 1. *Would the body be willing to act as the lead responsible body for provision of long-term support under the GCP?* |  |
| 1. *Would the body be interested in taking on a supporting role for provision of support, in partnership with another SAI / INTOSAI body which acts as the lead responsible body? (E.g. an emerging provider partnering with a mature provider).* |  |
| 1. *In order to support a capacity building project in a peer SAI, how long in advance does this need to be planned to incorporate it into the SAI’s annual work plan?* |  |
| 1. *Please include any additional information relating to the body as a potential provider of support to initiatives under the GCP.* |  |

1. Generic Contents of a Capability Statement

Where available, SAIs and INTOSAI bodies should submit their existing capability statements (with the table in section A above either included or attached). For bodies which do not yet have a capability statement, such documents usually include the following:

1. **Short description of the organisation** (often entitled ‘About Us’), with a specific focus on its role as a provider of capacity development support
2. **Core areas of capacity development support offered**, including both technical areas as well as generic and soft skills, e.g.

|  |  |
| --- | --- |
| **Technical Areas** | **Generic and Soft Skills** |
| Independence and Legal Framework | Facilitation Techniques |
| Internal governance and ethics (including strategic planning) | Change Management |
| SAI PMF or other Organisational Level Performance Assessments | Organisational Reviews |
| ISSAI Implementation\* | IT project implementation |
| * Financial Audit |  |
| * Performance Audit |  |
| * Compliance Audit |  |
| Jurisdictional Controls |  |
| Other specialised audit areas (e.g. IT audit, environmental audit, public debt audit) |  |
| Financial Management, Assets and Support Services |  |
| Human Resources and Training |  |
| Communication and Stakeholder Management |  |

\* Regarding ISSAI implementation, the capability statement should indicate whether the organisation and its staff have experience from conducting ISSAI based audits in each audit discipline, and from supporting others to adopt and implement ISSAI based audits.

This should be illustrated with examples of support previously provided in these areas by the organisation and/or its employees.

1. **Project History**, including references/testimonies[[4]](#footnote-4) where available, describing the most relevant recent projects delivered by the organisation. Summarised in 1-2 paragraphs per project.
2. **Core Team**, providing brief descriptions (often called ‘pen portraits’) of the core staff involved in managing, coordinating and delivering capacity development support. This usually explains the staff’s background, education, training, experience, focus areas and significant roles in previous projects. This may be supplemented by a description of the organisation’s ability to call on a wider pool of resources from within, and potentially outside, the organisation as required.

1. Cape Town, October 2016 [↑](#footnote-ref-1)
2. E.g. under the GCP section of the INTOSAI-Donor Cooperation webpages / future IDC Portal [↑](#footnote-ref-2)
3. *Defined as covering full staff costs, reimbursables and a reasonable allocation / apportionment of the organisation’s overheads and indirect costs.* [↑](#footnote-ref-3)
4. E.g. from the recipient SAI and/or funding donor, expressing their view on the delivery of the project. [↑](#footnote-ref-4)